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2/19/03

PATENT  
Attorney Docket No.: SONY-17800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroshi Takano et al.

Serial No.: 09/978,478

Filed: October 16, 2001

For: **METHOD AND APPARATUS FOR  
AUTOMATICALLY SWITCHING  
BETWEEN ANALOG AND  
DIGITAL INPUT SIGNALS**

) Group Art Unit: 2614

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

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**JAN 17 2003**

**Technology Center 2600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, Form PTO-1449, copies of the references contained thereon, and a Certification for the Information Disclosure Statement for filing in the U.S. Patent and Trademark Office.

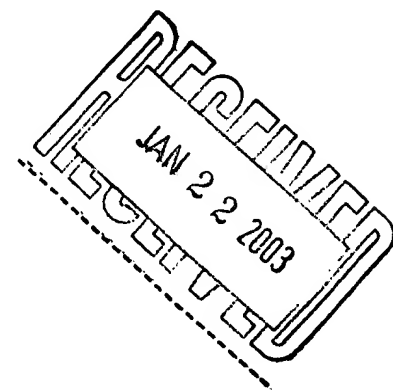
The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 9, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants



**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

**HAVERSTOCK & OWENS LLP**

Date: 1-9-03 By: Jonathan O. Owens



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Attorney Docket No.: SONY-17800

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In re Application of:	)	Group Art Unit: 2614
Hiroshi Takano et al.	)	Examiner:
Serial No.: 09/978,478	)	<b><u>INFORMATION DISCLOSURE</u></b>
Filed: October 16, 2001	)	<b><u>STATEMENT</u></b>
For: <b>METHOD AND APPARATUS FOR</b>	)	162 N. Wolfe Road
<b>AUTOMATICALLY SWITCHING</b>	)	Sunnyvale, CA 94086
<b>BETWEEN ANALOG AND</b>	)	(408) 530-9700
<b>DIGITAL INPUT SIGNALS</b>	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 4,301,475;
- U.S. Patent No. 4,808,992;
- U.S. Patent No. 5,438,375; and
- U.S. Patent No. 5,684,543.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 9, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
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**HAVERSTOCK & OWENS LLP.**

Date: 1-9-03 By: John D. Russell



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STATEMENT UNDER 37 CFR § 1.97(e)**

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) (408) 530-9700

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached four (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on December 19, 2002. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

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Attorney Docket No.: SONY-17800

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 9, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

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